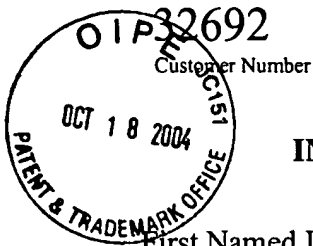


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Patent  
Case No.: 58582US003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: DAHN, JEFFREY R.

Application No.: 10/757645

Group Art Unit: 1745

Filed: January 13, 2004

Examiner: Unknown

Title: METHOD OF PRODUCING LITHIUM ION CATHODE MATERIALS

INFORMATION DISCLOSURE STATEMENT

*Mail Stop Amendment*  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
OCT 15 2004	<i>Lydia M. Cahill</i>
Date	Signed by Lydia M. Cahill

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

*October 14, 2004*  
Date

By: *Lucy C. Weiss*  
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Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

OCT 18 2004

Page 1 of 2

Application Number

10/757645

Filing Date

January 13, 2004

First Named Inventor

Dahn, Jeffrey R.

Art Unit

1745

Examiner Name

Attorney Case Number

58582US003

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 4612600	09/16/1986	Hodgkins	
	A2	US- 5674645	10/07/1997	Amatucci et al.	
	A3	US- 5759720	06/02/1998	Amatucci	
	A4	US- 5773168	06/30/1998	Kubo et al.	
	A5	US- 5900385	05/04/1999	Dahn et al.	
	A6	US- 5955219	09/21/1999	Nishijima et al.	
	A7	US- 5993998	11/30/1999	Yasuda	

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (if known)				
	B1	EP	1 035 600	09/13/2000			
	B2	JP	2002184404	06/28/2002			X
	B3	PCT	WO 02/073718	09/19/2002			X
	B4	PCT	WO 98 10476	03/12/1998			

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	A.R. Armstrong et al., <u>Nature</u> , 1996, 381, 499.
	C2	J. Cho et al., <u>Electrochem Solid State Lett.</u> 2000, 3, 355.
	C3	J. Cho. et al., <u>Chem. Mater.</u> 2000, 12, 3788.
	C4	J.R. Dahn et al., <u>Electrochem.Soc.</u> 1997, 145, 851.
	C5	H. Desilvestro et al., The 10th International Meeting on Lithium Batteries, Como, Italy, May 28-June 2, 2000.
	C6	Y. Gao et al., <u>Electrochem. Solid-State Lett.</u> , 1998, 1, 117.
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	C8	S. Jouanneau et al., <u>Chemistry of Materials</u> , 15-2, 2003, 495-499.
	C9	K. Kubo et al., <u>Journal of Power Sources</u> , 68, 1997, 553-557
	C10	M.J. Laurent et al., <u>Journal of Materials Science</u> 23 (1998) 4481
	C11	Z. Lu et al., <u>Electrochemical and Solid State Lett.</u> , 2001, 4-12

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

<b>Substitute for form 1449A/PTO (modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 2 of 2	<b>Application Number</b>	<b>10/757645</b>
	<b>Filing Date</b>	<b>January 13, 2004</b>
	<b>First Named Inventor</b>	<b>Dahn, Jeffrey R.</b>
	<b>Art Unit</b>	
	<b>Examiner Name</b>	
	<b>Attorney Case Number</b>	<b>58582US003</b>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C12	J.M. Paulsen et al., <u>J. Electrochem. Soc.</u> , 2000, 147, 861.
	C13	J.M. Paulsen et al, The 198th Meeting of the Electrochemical Society, Phoenix, Arizona, October 22-27, 2000.
	C14	D. MacNeil et al., <u>J. Electrochem. Soc.</u> 2002, 149(10), 1332
	C15	S. Marinel et al., <u>Journal of Materials Science; Material in Electronis</u> , 13, (2002) 149-155
	C16	K. Numata et al., <u>Chem. Lett.</u> 1997, 725.
	C17	K. Numata et al., <u>Solid State Ionics</u> 1999, 117, 257.

<b>*Examiner:</b>	<b>Date Considered:</b>
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